



John

John L. Mericle
Counsel to the Firm

Seattle
D 206.223.7073 C 206.601.9993
mericlej@lanepowell.com

John Mericle's career has involved the representation of clients that are a part of a broad range of activities governed by transactional securities regulation, including: corporations in a wide variety of industries and with securities traded on the NASDAQ, NYSE American, Toronto, TSX Venture, Canadian Securities and London AIM exchanges; U.S. and Canadian securities dealers; corporations and partnerships involved in oil and gas exploration; and real estate syndication.

John has advised corporations in securities litigation matters as counsel to both plaintiffs and defendants, including defense of certain public utilities in the Washington Public Power Supply System Securities Litigation. He has also been an expert witness called by both plaintiffs and defendants in matters before the Federal and State courts of the U.S. and the Province of British Columbia as well as an adviser to the British Columbia Securities Commission on certain matter of U.S. Federal and State securities law.

Practices & Industries

Corporate, Securities and M&A

Education

The University of Texas School of Law,
J.D., 1974

- Legal Research Board, Member
- Phi Delta Phi

The University of Texas at Austin, B.A.,
Government, with honors, 1972

Admissions

Washington
Texas (Inactive)

LinkedIn

<https://www.linkedin.com/in/john-mericle-66163531/>

Professional Experience

Harris, Mericle & Wakayama, PLLC (formerly Harris & Mericle), Seattle,
Washington

- Member (1981-1987; 1996-2016)
- Co-founder

Seattle University School of Law, Adjunct Professor of Securities Regulation
(1992-2000; 2007-2008)

Garvey Schubert Barer, Seattle, Washington

- Of Counsel (1991-1996)
- Shareholder (1988-1991)

ENI Exploration Company, Securities Counsel, Seattle/Bellevue,

Washington (1978-1980)

Foreman, Dyess, Prewitt, Rosenberg & Henderson, Associate Attorney,
Houston, Texas (1975-1978)

Community Involvement

Washington State Bar Association

- Business Law Section
 - Securities Law Committee (1993-Present)
- Special District Counsel, Disciplinary Board (1990-Present)
- International Practice Section

Washington State Securities Division Advisory Committee

- Chair (2006)
- Member (1998-2006)

American Bar Association, State Regulation of Securities Committee (1981-Present)

- Liaison to the Washington State Securities Division (1986-Present)
- Liaison to the North American Securities Administrators Association's Cross-Border Trading Committee (1997-1998)
- Member

Northwest Securities Institute, Planning Committee Member (February 1996; April 2016; May 2017; May 2018; May 2019)

American Arbitration Association, Securities Panel, Member

Port Townsend Public Library Foundation, Board Member (2011-Present)

Thought Leadership

April 8, 2019 | Publication

Digital Assets and the Securities Laws: Important New Guidance From the SEC Staff (but Remember the Blue Sky Laws)

Blockchain & Cryptocurrency Legal Update

May 4, 2018 | Event / CLE

Program Chair, 38th Annual Northwest Securities Institute
Washington State Bar Association

May, 2017 | Event / CLE

Hot Topics in Federal and State Transactional Law
Northwest Securities Institute

April 30, 2016 | Event / CLE

Securities: Developments in Transactional Practice — Important New and Proposed Regulations
Washington State Bar Association | Seattle

January, 2013 | Event / CLE

A Summary of the JOBS Act
The Continuing Legal Education Society of British Columbia

February, 2012 | Event / CLE

Crowd Funding and the JOBS Act
Northwest Securities Institute

February, 2007 | Event / CLE

That (Occasionally) Cross Border: Some Common Questions and Conundrums
Northwest Securities Institute

March, 2006 | Event / CLE

Anatomy of a U.S. Cross-Border Deal
The Continuing Legal Education Society of British Columbia

February, 2003 | Event / CLE

U.S. Exempt Offerings
Northwest Securities Institute

March, 2002 | Event / CLE

Selected Topics Under U.S. Securities Law
The Continuing Legal Education Society of British Columbia Securities

January, 2000 | Event / CLE

The Basics of U.S. Securities Law
British Columbia Securities Commission

February, 1999 | Event / CLE

Cross-Border Private Placements: Comparison of Canadian and U.S. Laws
Northwest Securities Institute

1998 | Publication

U.S. Companies and Canadian Public Offerings: The U.S. Securities Laws
Still Apply
Vancouver Stock Exchange

January, 1998 | Event / CLE

Listing on NASDAQ
The Continuing Legal Education Society of British Columbia

October, 1995 | Event / CLE

The Revolution of 1995: Major Changes in U.S. Securities Regulation
Vancouver Insights Conference

November, 1994 | Event / CLE

U.S. Securities Law: Selected Cross-Border Issues
Simon Fraser University Faculty of Business Administration

November, 1994 | Event / CLE

The Multi-Jurisdictional Disclosure System and Other Cross-Border Issues
Vancouver Insights

February, 1994 | Event / CLE

Regulation S: An Overview and Selected Problems
Northwest Securities Institute

February, 1993 | Event / CLE

Cross-Border Securities Offerings
Northwest Securities Institute

February, 1992 | Event / CLE

Recent Judicial Developments Regarding the Role of Attorneys Under the
Federal Securities Laws
Northwest Securities Institute

March, 1991 | Event / CLE

U.S. Securities Law: An Overview
Simon Fraser University Faculty of Business Administration

April, 1990 | Event / CLE

U.S. Securities Law: An Overview
Simon Fraser University Faculty of Business Administration

December, 1989 | Event / CLE

U.S. Securities Law
The Continuing Legal Education Society of British Columbia

April, 1989 | Event / CLE

Amendments to the Washington Securities Act
Washington State Bar Association

February, 1989 | Event / CLE

State Legislation Banning Arbitration Clauses
Northwest Securities Institute