



Bret

Bret A. Finkelstein
Associate

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Bret Finkelstein focuses his practice on defending companies, directors and officers, and accounting professionals in securities and corporate governance litigation, government and internal investigations, and professional liability litigation.

Bret has considerable experience defending clients in state and federal courts, and before government regulatory bodies including the Department of Justice, Securities and Exchange Commission, and the Public Company Accounting Oversight Board. He has also represented companies and individuals in the healthcare and pharmaceutical industries in investigations by the federal government and in civil litigation.

Before law school, Bret practiced as an Accountant for four years at Ernst & Young, where he performed financial statement audits for public companies and other entities.

Practices & Industries

Class Actions
Commercial Litigation
Investigations, Compliance & White Collar
Securities & Corporate Governance Litigation

Education

Boston University School of Law, J.D.,
magna cum laude, 2009

- *Boston University Law Review*,
Managing Editor
- Paul J. Liacos Distinguished Scholar
- G. Joseph Tauro Distinguished Scholar

University of Washington, B.A., 2002

Admissions

Washington
New York

Professional Experience

Arnold & Porter LLP (New York and D.C. offices)

- Litigation Associate (2010-2015)
- Summer Associate (2008)

City of Philadelphia District Attorney's Office, Intern (2007)

Ernst & Young LLP

- Senior Associate (2004-2006)
- Associate (2002-2004)

Thought Leadership

Honors

"Ones to Watch," *Best Lawyers*®, Commercial Litigation, Litigation – Securities (2021)

LinkedIn

<https://www.linkedin.com/in/bret-finkelstein-160b827/>

August 20, 2020 | News

The Best Lawyers in America® 2021 Recognizes 92 Lane Powell Attorneys Awards & Honors

October 7, 2017 | Publication

Third Circuit Affirms Dismissal of Plaintiffs' Challenge to Risk Factors and Earnings Projections, in *Williams v. Globus Medical, Inc.* (July 28, 2017)
Securities Litigation Legal Update

June 29, 2017 | Publication

From Sea to Shining Sea: The Ninth Circuit Aligns With the Second Circuit in Affirming *Omnicare* Decision's Benefits for Securities-Suit Targets
The Legal Pulse Blog

May 16, 2017 | Publication

Ninth Circuit Applies *Omnicare* Standard for Pleading False Statements of Opinion to Section 10(b) Claims, in *City of Dearborn Heights v. Align Technology* (May 5, 2017)
Securities Litigation Legal Update

January 14, 2017 | Publication

Eleventh Circuit Upholds District Court Dismissal of Claims Against Pharma Company Related to Undisclosed Payments to Stock Promoters, in *In re Galectin Therapeutics, Inc. Securities Litigation* (December 15, 2016)
Securities Litigation Legal Update

May 26, 2016 | Publication

Eighth Circuit Rules Safe Harbor Does Not Protect CEO's Statements Because He Had Actual Knowledge They Were Misleading, in *Rand-Heart of New York, Inc. v. Dolan* (February 10, 2016)
Securities Litigation Legal Update

May 25, 2016 | Publication

Ninth Circuit Clarifies When Revelation of an Investigation Can Constitute a Corrective Disclosure, in *Lloyd v. CVB Financial Corporation* (February 1, 2016)
Securities Litigation Legal Update

October 12, 2015 | News

Finkelstein Joins Lane Powell's Securities Litigation Practice Group

February 5, 2014 | Publication

Preparing an Effective PCAOB Statement of Position

