
Related People

Christopher B. Wells
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**Related Practices &
Industries**

Litigation
Securities & Corporate Governance
Litigation

Hollinger v. Titan Capital Corp.

Case No. 914 F.2d 1564 | U.S. Court of Appeals, Ninth Circuit

Defended brokerage firm in federal court lawsuit in Seattle alleging federal and state securities fraud and other state common law and statutory liability. Obtained summary judgment dismissal of claims against client brokerage firm, notwithstanding that rep had defalcated plaintiffs' funds. Represented brokerage firm in nationally prominent appeal in which Ninth Circuit altered its test for control person liability under the federal securities acts. Part of the summary judgment was reversed and remanded, then settled. (Later supplied briefs and guidance to the attorney who obtained a summary judgment upheld on appeal in a subsequent control person case — Hauser v. Farrell, 14 F.3d 1338 (9th Cir. 1994).)