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**Related People**

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**Related Practices &  
Industries**

Litigation  
Financial Institutions Litigation &  
Investigations  
Securities & Corporate Governance  
Litigation

# Washington State Securities Investigation

Represented major institutional broker-dealer affiliates in auction rate securities investigation brought by a number of state securities regulators and enforcement action brought by local state regulator. Positioned clients for very good settlement to coordinate with larger affiliate also settling with multiple state regulators.