

Tanya D. Urbach

Shareholder
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Areas of Practice

Tanya Urbach is a litigator emphasizing in securities, banking, and antitrust and trade disputes. Tanya has defended broker-dealers, investment advisors, registered representatives and other securities professionals in a variety of civil disputes and regulatory inquiries arising out of and relating to violations of state and federal securities statutes and financial fraud. In addition, she has represented financial institutions in banking and trust litigation, including defending consumer claims under fair debt collection statutes, the Truth-in-Lending Act, Consumer Protection Act and common law fraud. Tanya has also represented manufacturers and retailers in Unlawful Trade Practices Act, Magnuson-Moss Warranty Act, breach of contract and tort claims.

Tanya previously served as the Deputy Commissioner of Securities for the State of Vermont. She also served on the board of directors and broker-dealer section of the North American Securities Administrators Association. As the Vermont state securities administrator, Tanya was in charge of the investigation and prosecution of securities fraud and oversaw the registration and licensing of securities firms and their representatives. Tanya has prosecuted regulatory investigations into Internet-based securities fraud, ponzi schemes and broker-dealer and investment adviser misconduct arising out of various subjects including market timing in mutual funds and variable annuities, market manipulation, and abusive short-selling. Tanya has also had extensive experience both defending and investigating suitability and other point of sale violations relating to mutual funds, variable annuities, equity-indexed annuities, 529 plans, tenancy-in-common interests, auction rate securities, equities and bonds.

Professional Experience

Deputy Commissioner of Securities, State of Vermont, Department of Banking, Insurance, Securities and Health Care Administration (2004-2005)
Partner, Lane Powell Spears Lubersky LLP (2000-2004)
Litigation Associate, Merrill O'Sullivan, LLP (1996-2000)

Admitted to Practice

Oregon
U.S. District Court, District of Oregon

Academics

Northwest Institute for Trial Advocacy (2002)
Oregon Trial Advocacy College (1998)
Northwestern School of Law of Lewis and Clark College (J.D., 1996)
Cofounder and President, Northwestern School of Law Business Law Society
Robert D. Clark Honors College of University of Oregon (B.A., 1991)

Practice Group and Specialty Team Memberships

Antitrust and Trade Regulation
Litigation

London
Public/Private Partnerships
Securities
Securities Litigation

Publications

“Broker-dealers Could Be Given A Higher Fiduciary Standard,” *Portland Business Journal*, August 20, 2010 (Co-Authored)

ABA Section of Antitrust Law, State Antitrust Practice and Statutes (3rd ed. 2004), Washington and Oregon Chapters

Oregon Statutory Time Limitations, Oregon State Bar Professional Liability Fund, May 2003 (Contributing Author)

Awards and Honors

Selected “Future Star” by *Benchmark Litigation*, 2009-2011

North American Securities Administrators Association, Outstanding Service Award, 2006

Professional and Community Activities

Fellow in the Litigation Counsel of America, Trial Lawyer Honorary Society, 2009
Oregon State Bar

Executive Committee, Securities Regulation Section

Member, Multnomah Bar Association

North American Securities Administrators Association, Board of Directors (2005)

North American Securities Administrators Association, Broker-Dealer Section, Member (2004-2005)

Owen M. Panner Inn of Court Member (2000-2004)

Northwest China Counsel Board of Directors (2000-2002)

Timberhill Corporation Board of Directors, Director (1997-present)

Hospice of Redmond and Sisters Ethics Committee, Member (1996-2000)

American Inns of Court and J.R. Campbell Inns of Court, Member (1997-2000)

Languages

Proficient in Chinese

Working knowledge of French